



Received & Inspected

JUL 01 2015

REDACTED - FOR PUBLIC INSPECTION

FCC Mail Room

June 26, 2015

Ms. Marlene H. Dortch, Secretary  
Federal Communications Commission  
445 12<sup>th</sup> Street, S.W.  
Washington, D.C. 20554

DOCKET FILE COPY ORIGINAL

**Re: Connect America Fund, WC Docket No. 14-58, 47 CFR § 54.313 Annual Reporting Requirements for High-Cost Recipients (Form 481)**

Dear Ms. Dortch:

Attached please find San Carlos Apache Telecommunications Utility, Inc.'s (Company) (SAC 452169) high-cost support recipient annual report pursuant to 47 CFR § 54.313 (Form 481).

The Company is filing certain financial information, reported pursuant to 47 CFR § 54.313(f)(2), as confidential under the June 17, 2015 Protective Order (DA 15-712). Pursuant to that Order, each page of this filing has been marked "REDACTED - FOR PUBLIC INSPECTION." The non-redacted version of this information has been marked "CONFIDENTIAL INFORMATION - SUBJECT TO PROTECTIVE ORDER IN WC DOCKET NOS. 10-90, 07-135, 05-337, 03-109, 14-58, GN DOCKET NO. 09-51, CC DOCKET NOS. 01-92, 96-45, WT DOCKET NO. 10-208 BEFORE THE FEDERAL COMMUNICATIONS COMMISSION." As such, the Company requests that the non-redacted version of its submission be withheld from public inspection.

The Company is also requesting confidential treatment of certain information being filed pursuant to 47 CFR 54.313(a)(1) (five year service quality improvement plan progress report) under 47 CFR § 0.457 and 0.459. The redacted version of this filing has been marked "REDACTED - FOR PUBLIC INSPECTION." The non-redacted version has been marked "CONFIDENTIAL - NOT FOR PUBLIC INSPECTION."

Pursuant to 47 CFR § 0.459, the Company offers the following in support of its request for confidential treatment of certain information.

- *Identification of the specific information for which confidential treatment is sought:* The Company seeks confidential treatment of the five year service quality improvement plan progress report required per 47 CFR § 54.313(a)(1),
- *Identification of the Commission proceeding in which the information was submitted or a description of the circumstances giving rise to the submission:* The Company is providing the five year service quality improvement plan progress report as part of its annual high-cost support recipient report per 47 CFR § 54.313.
- *Explanation of the degree to which the information is commercial or financial, or contains a trade secret or is privileged:* The Company considers the information to be highly sensitive in that it contains statements about the Company's future investment plans, and discusses specific equipment and strategies the Company will utilize to provide services.

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- *Explanation of the degree to which the information concerns a service that is subject to competition:* The Company provides voice and broadband services that are in competition with various landline and wireless providers; thus, the investment data disclosed is related to services subject to competition to a high degree.
- *Identification of any measures taken by the submitting party to prevent unauthorized disclosure:* The Company makes the data being provided available only to employees, consultants, and attorneys on a limited, need-to-know basis.
- *Identification of whether the information is available to the public and the extent of any previous disclosure of the information to third parties:* The information is not publicly available.
- *Justification of the period during which the submitting party asserts that material should not be available for public disclosure:* The Company requests that the data provided be treated as confidential indefinitely. Due to the sensitive nature of the data, it would not be appropriate for public disclosure at any time in the foreseeable future.
- *Any other information that the party seeking confidential treatment believes may be useful in assessing whether its request for confidential treatment should be granted:* None.

Accordingly, The Company requests confidential treatment of the five year service quality improvement plan progress report pursuant to section 0.457 and 0.459 of the Commission's rules.

The redacted version of this Form 481 submission will be filed via the Commission's Electronic Comment Filing System (ECFS) in the above-captioned docket.

If you have any questions about this filing, please contact the undersigned.

Sincerely,

Rob D. Strait  
Principle  
719-531-6342

Attachment

cc: Charles Tyler  
Telecommunications Access Policy Division  
Wireline Competition Bureau  
Federal Communications Commission  
445 12th Street, S.W., Room 5-A452  
Washington, DC 20554



**FCC Form 481 - Carrier Annual Reporting**  
**Data Collection Form**

 FCC Form 481  
 OMB Control No. 3060-0036/OMB Control No. 3060-0819  
 July 2013

<010> Study Area Code	452169	<b>Received &amp; Inspected</b> <b>JUL 01 2015</b> <b>FCC Mail Room</b>
<015> Study Area Name	SAN CARLOS APACHE	
<020> Program Year	2016	
<030> Contact Name: Person USAC should contact with questions about this data	Shirley Ortiz	
<035> Contact Telephone Number: Number of the person identified in data line <030>	9284752433 ext.	
<039> Contact Email Address: Email of the person identified in data line <030>	shirley.ortiz@scatui.com	

ANNUAL REPORTING FOR ALL CARRIERS		54.313 Completion Required	54.422 Completion Required
		(check box when complete)	
<100> Service Quality Improvement Reporting	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<200> Outage Reporting (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<210> <input checked="" type="checkbox"/> <-- check box if no outages to report		<input checked="" type="checkbox"/>	<input type="checkbox"/>
<300> Unfulfilled Service Requests (voice)	10 452169az310.pdf	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<310> Detail on Attempts (voice)	(attach descriptive document)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<320> Unfulfilled Service Requests (broadband)	7 452169az330.pdf	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<330> Detail on Attempts (broadband)	(attach descriptive document)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<400> Number of Complaints per 1,000 customers (voice)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<410> Fixed	0.0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<420> Mobile	0.0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<430> Number of Complaints per 1,000 customers (broadband)		<input checked="" type="checkbox"/>	<input type="checkbox"/>
<440> Fixed	0.0	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<450> Mobile	0.0	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<500> Service Quality Standards & Consumer Protection Rules Compliance	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<510> 452169az510.pdf	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<600> Functionality in Emergency Situations	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<610> 452169az610.pdf	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<700> Company Price Offerings (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<710> Company Price Offerings (broadband)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<800> Operating Companies and Affiliates	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<900> Tribal Land Offerings (Y/N)?	(if yes, complete attached worksheet)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1000> Voice Services Rate Comparability Certification	Yes	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1010> 452169az1010.pdf	(attach descriptive document)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1100> Certify whether terrestrial backhaul options exist (Yes or No)	(if not, check to indicate certification)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1110>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<1200> Terms and Condition for Lifeline Customers	(complete attached worksheet)	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet**

<2000> Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers	(check to indicate certification)	<input type="checkbox"/>	<input type="checkbox"/>
<2005>	(complete attached worksheet)	<input type="checkbox"/>	<input type="checkbox"/>

**Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet**

<3000>	(check to indicate certification)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<3005>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**(100) Service Quality Improvement Reporting  
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com
<110>	Has your company received its ETC certification from the FCC?	(yes / no) <input checked="" type="radio"/> <input type="radio"/>
<111>	If your answer to Line <110> is yes, do you have an existing §54.202(a) "5 year plan" filed with the FCC?	(yes / no) <input checked="" type="radio"/> <input type="radio"/>

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

- <112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

452169az112.pdf

Name of Attached Document

Please select the appropriate responses below (Yes, No, Not Applicable) to confirm that the attached document(s), on line 112, contains a progress report on its five-year service quality improvement plan pursuant to §54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

- <113> Maps detailing progress towards meeting plan targets
- <114> Report how much universal service (USF) support was received
- <115> How much (USF) was used to improve service quality and how support was used to improve service quality
- <116> How much (USF) was used to improve service coverage and how support was used to improve service coverage
- <117> How much (USF) was used to improve service capacity and how support was used to improve service capacity
- <118> Provide an explanation of network improvement targets not met in the prior calendar year.

Yes
Yes
Yes
Yes
Yes
Yes

### Data Collection Form

OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

[illegible]



OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

1/1/2015

16.0

[illegible]

(710) Broadband Price Offerings  
Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

[illegible]

(800) Operating Companies  
Data Collection Form  
FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com
<810>	Reporting Carrier	San Carlos Apache Telecommunications Utility, Inc.
<811>	Holding Company	San Carlos Apache Telecommunications Utility, Inc.
<812>	Operating Company	San Carlos Apache Telecommunications Utility, Inc.

[illegible]



**(900) Tribal Lands Reporting  
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

&lt;910&gt; Tribal Land(s) on which ETC Serves

San Carlos Apache Reservation

&lt;920&gt; Tribal Government Engagement Obligation

452169az920.pdf

Name of Attached Document

If your company serves Tribal lands, please select (Yes, No, NA) for each these boxes to confirm the status described on the attached document(s), on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

- <921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions.
- <922> Feasibility and sustainability planning;
- <923> Marketing services in a culturally sensitive manner;
- <924> Compliance with Rights of way processes
- <925> Compliance with Land Use permitting requirements
- <926> Compliance with Facilities Siting rules
- <927> Compliance with Environmental Review processes
- <928> Compliance with Cultural Preservation review processes
- <929> Compliance with Tribal Business and Licensing requirements.

Select Yes or No or Not Applicable
Yes
Yes
Yes
Yes
Yes
Yes
Yes
Yes

**(1100) No Terrestrial Backhaul Reporting  
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

<1120> Please confirm whether terrestrial backhaul options exist within the supported area pursuant to § 54.313(g) (Yes, No).

<1130> Please select the appropriate response (Yes, No, Not Applicable) to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(g).

**(1200) Terms and Condition for Lifeline Customers**  
**Lifeline**  
**Data Collection Form**

FCC Form 481  
 OMB Control No. 3060-0986/OMB Control No. 3060-0819  
 July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

452169az1210.pdf

<1210> Terms & Conditions of Voice Telephony Lifeline Plans

Name of Attached Document

<1220> Link to Public Website

HTTP

"Please check these boxes below to confirm that the attached document(s), on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

- <1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers, ☒
- <1222> Details on the number of minutes provided as part of the plan, ☒
- <1223> Additional charges for toll calls, and rates for each such plan. ☒



## (2000) Price Cap Carrier Additional Documentation

## Data Collection Form

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2015
<030>	Contact Name - Person USAC should contact regarding this data	SHIRLEY ORTIZ
<035>	Contact Telephone Number - Number of person identified in data line <030>	5284752453 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

Select the appropriate responses below (Yes, No, Not Applicable) to note compliance as a recipient of Incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e). The information reported on this form and in the documents attached below is accurate.

## Incremental Connect America Phase I reporting

- <2010> 2nd Year Certification {47 CFR § 54.313(b)(1)i}  
 <2011a> 3rd Year Certification {47 CFR § 54.313(b)(1)ii}  
 <2011b> Attachment {47 CFR § 54.313(b)(1)ii}


Name of Attached Document(s) Listing Required Information

## Price Cap Carrier Receiving Frozen Support Certification {47 CFR § 54.312(a)}

- <2012> 2013 Frozen Support Calculation {47 CFR § 54.313(c)(1)}  
 <2013> 2014 Frozen Support Calculation {47 CFR § 54.313(c)(2)}  
 <2014> 2015 Frozen Support Calculation {47 CFR § 54.313(c)(3)}  
 <2015> 2016 and future Frozen Support Calculation {47 CFR § 54.313(c)(4)}


## Price Cap Carrier Connect America ICC Support {47 CFR § 54.313(d)}

- <2016> Certification Support Used to Build Broadband

--

## Connect America Phase II Reporting {47 CFR § 54.313(e)}

- <2017> 3rd year Broadband Service Certification  
 <2018> 5th year Broadband Service Certification  
 <2019> Interim Progress Certification  
 <2020> Please check the box to confirm that the attached document(s), on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.


- <2021> Interim Progress Community Anchor Institutions


Name of Attached Document(s) Listing Required Information

## [3000] Rate Of Return Carrier Additional Documentation

Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@acatui.com

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

- (3010) Progress Report on 5 Year Plan  
Milestone Certification (47 CFR § 54.313(f)(1)(i))

452169az3010.pdf

Name of Attached Document Listing Required Information

- (3011) Please check this box to confirm that the attached document(s), on line 3012 contains the required information pursuant to § 54.313 (f)(1)(ii), the carrier shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year. ☒

452169az3012.pdf

- (3012) Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))

Name of Attached Document Listing Required Information

- (3013) Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))  
(3014) If yes, does your company file the RUS annual report

(Yes/No)  
(Yes/No)



Please check these boxes to confirm that the attached document(s), on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:

- (3015) Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers) ☒  
(3016) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☒

452169az3017.pdf

- (3017) If the response is yes on line 3014, attach your company's RUS annual report and all required documentation

Name of Attached Document Listing Required Information

- (3018) If the response is no on line 3014, is your company audited?

(Yes/No)



If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains

- (3019) Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications ☐  
(3020) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☐  
(3021) Management letter and audit opinion issued by the independent certified public accountant that performed the company's financial audit ☐

If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:

- (3022) Copy of their financial statement which has been subject to review by an independent certified public accountant; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers, ☐  
(3023) Underlying information subjected to a review by an independent certified public accountant ☐  
(3024) Underlying information subjected to an officer certification. ☐  
(3025) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows ☐

- (3026) Attach the worksheet listing required information

Name of Attached Document Listing Required Information

(3000) Rate Of Return Carrier Additional Documentation (Continued)

FCC Form 481

Data Collection Form

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

## Financial Data Summary

(3027) Revenue

5793400

(3028) Operating Expenses

5074912

(3029) Net Income

4271137

(3030) Telephone Plant In Service(TPIS)

26286018

(3031) Total Assets

21699397

(3032) Total Debt

15405682

(3033) Total Equity

6293715

(3034) Dividends

0



Certification - Reporting Carrier Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
---	--

<010> Study Area Code	452169
<015> Study Area Name	SAN CARLOS APACHE
<020> Program Year	2016
<030> Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035> Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

<b>Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients</b>	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier:	
Signature of Authorized Officer:	Date
Printed name of Authorized Officer:	
Title or position of Authorized Officer:	
Telephone number of Authorized Officer:	
Study Area Code of Reporting Carrier:	Filing Due Date for this form:
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

Certification - Agent / Carrier Data Collection Form	PCC Form 481 OMB Control No. 3060-0386/OMB Control No. 3060-0819 July 2013
---	--

<010> Study Area Code	452169
<015> Study Area Name	SAN CARLOS APACHE
<020> Program Year	2016
<030> Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035> Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:

Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I certify that (Name of Agent) <u>Dion Nero</u> is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate.	
Name of Authorized Agent:	Dion Nero
Name of Reporting Carrier:	SAN CARLOS APACHE
Signature of Authorized Officer:	CERTIFIED ONLINE Date: 06/26/2015
Printed name of Authorized Officer:	Shirley Ortiz
Title or position of Authorized Officer:	CEO/General Manager
Telephone number of Authorized Officer:	9284757058 ext.
Study Area Code of Reporting Carrier:	452169 Filing Due Date for this form: 07/01/2015
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

TO BE COMPLETED BY THE AUTHORIZED AGENT:

Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate.	
Name of Reporting Carrier:	SAN CARLOS APACHE
Name of Authorized Agent or Employee of Agent:	Dion Nero
Signature of Authorized Agent or Employee of Agent:	CERTIFIED ONLINE Date: 06/26/2015
Printed name of Authorized Agent or Employee of Agent:	Dion Nero
Title or position of Authorized Agent or Employee of Agent:	Senior Client Manager
Telephone number of Authorized Agent or Employee of Agent:	9183769901 ext.
Study Area Code of Reporting Carrier:	452169 Filing Due Date for this form: 07/01/2015
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

## Attachments



(700) Price Offerings including Voice Rate Data  
Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS AFACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
<035>	Contact Telephone Number - Number of person identified in data line <030>	9284752433 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	shirley.ortiz@scatui.com

<701> Residential Local Service Charge Effective Date

1/1/2015

<702> Single State-wide Residential Local Service Charge

16.0

<703>

[illegible]

[710] Broadband Price Offerings  
Data Collection Form

FGC Form 4B1

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	452169
<015>	Study Area Name	SAN CARLOS APACHE
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Shirley Ortiz
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[illegible]

## **I. DISCUSSION**

San Carlos Apache Telecommunications Utility, Inc. (Company), an ETC designated by the Federal Communications Commission, hereby submits this five year service quality improvement plan progress report (*Progress Report*) as required by 47 CFR § 54.313(a)(1). The Company is an incumbent carrier with one service area in the state of Arizona, with 2,747 customers in 1 exchanges as of 12/31/2014. The Company is a rate-of-return (RoR) regulated carrier.

The *Progress Report* reflected herein represents the Company's ongoing efforts to expand high quality, reasonably-priced broadband services throughout its service area. The Company generated and filed in 2014 a five-year plan in compliance with the Commission's rules, although the out years in the plan (years 3-5) are speculative in nature and thus will likely change, sometimes substantially, in future progress updates filed with the Commission. Reasons for the speculative nature of years 3-5 of the Plan include (1) the unknown nature of universal service support in those years, in light of the Commission's USF/ICC Transformation Order, (2) the difficulty in forecasting customer counts, demand, and other factors directly affecting network demand, and (3) the rapid pace of technological innovations in the communications industry makes it difficult to plan more than one or two years in advance with any degree of accuracy.

The *Progress Report* presented herein by necessity includes expenditures not directly tied to "improvements or upgrades" of the Company's network, such as amounts for the maintenance and upkeep of existing telecommunications plant. In order for the Commission to accurately consider the capital and operating expenditures as related to and supported by the federal universal service programs, these types of costs are critical for such an understanding.

## **II. Five Year Plan Update**

The Company has no changes to the five year service quality improvement plan it filed in 2014.

## **III. Progress Report**

### *A. Maps*

Section 54.313(a)(1) requires that all recipients of high cost support are to provide maps depicting the progress made during the current reporting period. The Company is providing a map showing progress in regards to its five year service quality plan through June 30, 2015, and is provided at the wire center or census block level, as applicable.



*B. Universal Service Support Received*

Section 54.313(a)(1) requires that all recipients of high-cost support provide an explanation of how much universal service support was received during the reporting period. For this year's Progress Report, the amount of universal service support received will be for the 6 months ended June 30, 2015. The Company received the following universal service support amounts during the period January 1, 2015 through June 30, 2015:

Description	Amount Rec'd Jan - Jun 2015
High Cost Loop Support	\$578,001
Safety Valve Support	0
Safety Net Additive Support	0
Interstate Common Line Support	522,096
CAF-ICC Support	397,200
<b>Total</b>	<b>\$1,497,297</b>

Of the total amount of support received shown above, the Company estimates that approximately 60% was used for capital expenditures and 40% was used for operating expenditures.

*C. How Universal Service Support Was Used*

Section 54.313(a)(1) also requires all recipients of high-cost support to provide an explanation of how the universal service support received was used to improve service quality, coverage, or capacity. The universal service support received by the Company is either based on (1) actual overall revenue requirements, as determined by associated FCC rules, or (2) the replacement of certain interstate and intrastate access revenues. This support is added to the Company's general funds and the expenditure of such support is not separately tracked, nor is it practical to do so.

Overall, any support expended pursuant to the investment and operating expense budgets presented in the Company's five year service quality improvement plan will be used to increase coverage and capacity, via additional investment in voice and broadband-capable infrastructure, and improve service quality, via expenditures for continued operations and maintenance. By the very nature of the FCC rules that give rise to the universal service support received, the Company clearly expends such funding to support regulated operations and thus serves to improve broadband and voice coverage, capacity and service quality. Therefore, due to the reimbursement nature of the HCLS and ICLS mechanisms, all support received was already expended to increase coverage, capacity and service quality improvement through qualifying capital investments and the qualifying operating expenses that support them.

SCATUI's 2015 service quality improvement projects to date have expanded 10/1 Mbps broadband service capability to an estimated additional 923 households via FTTP and an additional 994 households via copper based VDSL. The following is a description of the projects that were completed during the first half of 2015:

San Carlos Apache Telecommunications Utility, Inc.  
Five Year Service Quality Improvement Plan Progress Report  
For the 2015 Reporting Year  
Per 47 CFR § 54.313(a)(1)

**10G Optical Transport/FTTP Upgrades** – SCATUI constructed approximately 59 miles of buried fiber optic cable and installed FTTP optical line terminal (“OLT”) and optical network terminal (“ONT”) equipment. The amount spent on these network improvements in the first half of 2015 is \$3,675,000 in OSP construction and approximately \$1,450,000 in access electronics and CPE. These network upgrades improve service quality by making faster speeds available to approximately 923 potential FTTP customers approximately 994 copper based VDSL customers. These network upgrades also significantly improve our overall network reliability.

**DWDM Electronics** – SCATUI purchased and installed new DWDM optical transport equipment to increase optical transport capacity between our Peridot office and our Bylas office at a cost of \$97,000. By increasing the optical transport capacity between these two offices SCATUI will be able to adequately support the higher speed services in our Bylas service area implemented on our new FTTP deployment in Bylas.

**Central Office Equipment** – SCATUI purchased and installed a new voice softswitch, new DC power system equipment and test equipment. The amount spent on these network improvements during the first half of 2015 is \$878,000. These network upgrades improve service quality by replacing a discontinued voice switch with a vendor supported product and by replacing outdated DC power systems with more reliable and vendor supported equipment.

The table below provides an overview of the projected 2015 capital expenditure projects shown in SCATUI’s 5 year plan submitted in 2014 versus actual project expenditures occurring between January 1, 2015 and June 30, 2015.

Project Description	2015 Projected Capital Expenditures from 5 Year Plan	Actual Capital Expenditures (January 1, 2015 – June 30, 2015)
10 Gig Transport/FTTP Electronics	\$1,323,000	\$1,450,000
DWDM Electronics	\$0	\$97,000
FTTP Additions	\$702,000	\$3,675,000
COE Additions	\$0	\$878,000
<b>Totals:</b>	<b>\$2,025,000</b>	<b>\$6,100,000</b>

#### *D. Network Improvement Targets*

Section 54.313(a)(1) requires all recipients of high cost support to provide an explanation of any network improvement targets that have not been fulfilled in the prior calendar year. Since the Company filed its initial five year service quality improvement plan in 2014, there is no prior calendar year upon which to report at this time.

#### IV. Considerations

The investment and service quality improvement plan and progress report discussed above were generated, in part, to meet or exceed the broadband public interest obligations adopted by the Commission:

- *Speed* - at least 10 mbps upstream and 1 mbps downstream in regards to requests for service beginning in 2015. This reflects the Commission's recent decision to increase the standard broadband speed from 4 mbps /1 mbps.
- *Latency* - 100mS or less, sufficient for real-time applications
- *Capacity* – The Company currently provides [insert monthly usage allowance] to its broadband service customers.
- As an RoR-regulated carrier, the Company is required pursuant to 47 CFR § 54.313(f)(1)(i) to provide broadband service at 10 meg/1 meg upon reasonable request and within a reasonable timeframe. As a result, the Plan and Progress Report reflected herein takes into account this requirement by meeting all such requests for broadband service within the overall service guidelines adopted by the relevant tribal authority and the FCC.
- The Company will provide high speed internet and telephone service to all areas within its franchised area.





